

ANNEXURE TO THE DIRECTORS' REPORT

Extract of Annual Return in Form No. MGT-9 as on financial year ended on 31.03.2020

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i.	CIN	U65921DL2010PLC199469
ii.	Registration Date	23-02-2010
iii.	Name of the Company	Shubham Housing Development Finance Company Limited
iv.	Category / Sub-Category of the Company	Public
v.	Address of the Registered office and contact details	D-305 Ground Floor, Sarvodaya Enclave, New Delhi-110017
vi.	Whether listed company	Yes, Only Debentures of the Company are listed on WDM Segment of Bombay Stock Exchange
vii.	Name, Address and Contact details of Registrar and Transfer Agent, if any	Skyline Financial Services Pvt. Ltd. D-153A, 1 st Floor, Okhla Industrial Area, Phase-I, New Delhi-110020

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code* of the Product/ service	% to total turnover of the company
1.	Housing and Non- Housing Loan	64920	100%

*NIC 2008 issued by Central Statistical Organisation Ministry of Statistics and Programme Implementation Government of India

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1.	NA	NA	NA	NA	NA

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i.	CATEGORY-WISE SHARE HOLDING
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Category of Shareholders		No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
A.	Promoter	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
1)	Indian									
	a) Individual/ HUF	5,00,000	-	5,00,000	52.98	5,00,000	-	5,00,000	52.98	0.00
	b) Central Govt	-	-	-	-	-	-	-	-	-
	c) State Govt(s)	-	-	-	-	-	-	-	-	-
	d) Bodies Corp	-	-	-	-	-	-	-	-	-
	e) Banks / FI	-	-	-	-	-	-	-	-	-
	f) Any Other	-	-	-	-	-	-	-	-	-
	Sub-total(A)(1):-	5,00,000	-	5,00,000	52.98	5,00,000	-	5,00,000	52.98	0.00
2)	Foreign									
	a) NRIs-Individuals	-	-	-	-	-	-	-	-	-
	b) Other-Individuals	-	-	-	-	-	-	-	-	-
	c) Bodies Corp.	-	-	-	-	-	-	-	-	-
	d) Banks / FI	-	-	-	-	-	-	-	-	-
	e) Any Other	-	-	-	-	-	-	-	-	-
	Sub-total (A)(2):-	-	-	-	-	-	-	-	-	-
	Total Shareholding of Promoters (A)=(A)(1)+ (A)(2)	5,00,000	-	5,00,000	52.98	5,00,000	-	5,00,000	52.98	-
B.	Public Shareholding	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	% Change during the year
1)	Institutions									
	a) Mutual Funds	-	-	-	-	-	-	-	-	-
	b) Banks / FI	-	-	-	-	-	-	-	-	-
	c) Central Govt	-	-	-	-	-	-	-	-	-
	d) State Govt(s)	-	-	-	-	-	-	-	-	-
	e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
	f) Insurance Companies	-	-	-	-	-	-	-	-	-
	g) FII's	-	-	-	-	-	-	-	-	-
	h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
	i) Others (specify)	-	-	-	-	-	-	-	-	-
	Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-



2)	Non Institutions									
	a) Bodies Corp.									
	(i) Indian	21,396	-	21,396	2.27	21,396	-	21,396	2.27	-
	(ii) Overseas	2,26,425	-	2,26,425	23.99	2,26,425	-	2,26,425	23.99	-
	b) Individuals	-	-	-	-	-	-	-	-	-
	(i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
	(ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
	c) Others - Trust's	76,822	1,19,047	1,95,869	20.76	76,822	1,19,047	1,95,869	20.76	-
	Sub-total (B)(2):-	3,24,643	1,19,047	4,43,690	47.02	3,24,643	1,19,047	4,43,690	47.02	-
	Total Public Shareholding (B)=(B)(1)+(B)(2)	3,24,643	1,19,047	4,43,690	47.02	3,24,643	1,19,047	4,43,690	47.02	-
C.	Shares held by Custodian for GDRs & ADRs	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	% Change during the year
	-	-	-	-	-	-	-	-	-	-
	Grand Total (A+B+C)	8,24,643	1,19,047	9,43,690	100	8,24,643	1,19,047	9,43,690	100	-

ii. SHAREHOLDING OF PROMOTERS									
Sr. No	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year	
		No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged / encumbered to total shares		
1.	Sanjay Chaturvedi	250000	26.49	-	250000	26.49	-	-	
2.	Rupa Basu	250000	26.49	-	250000	26.49	-	-	
	Total	500000	52.98	-	500000	52.98	-	-	



iii. CHANGE IN PROMOTERS' SHAREHOLDING (PLEASE SPECIFY, IF THERE IS NO CHANGE)					
There has been no change in the Promoters' Shareholding during the year.					
Sr. No		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	5,00,000	52.98	5,00,000	52.98
	Date wise Increase / Decrease in Promoters Shareholding during the year specifying the reasons for increase/decrease (e.g. allotment/ transfer/ bonus/ sweat equity etc):	-	-	-	-
	At the End of the year	5,00,000	52.98	5,00,000	52.98

iv. SHAREHOLDING PATTERN OF TOP TEN SHAREHOLDERS (OTHER THAN DIRECTORS, PROMOTERS AND HOLDERS OF GDRS AND ADRS):-				
The list of top 10 equity shareholders of the company is as follows. There has been no change in their shareholding during the year.				
Sr. No	Name of Shareholder	Number of Shares held		percentage Shareholding
1	Shubham Employees Welfare Trust	1,19,407		12.62%
2	Helion Venture Partners II LLC	93,763		9.94%
3	Elever Equity Mauritius	93,763		9.94%
4	PI Opportunities Fund I	76,818		8.14%
5	IBEF- IIA	38,899		4.12%
6	Motilal Oswal Securities Limited	21,381		2.27%
7	MOPE Investment Advisors	15		0.00%
8	Shubhmo Employee Trust	4		0.00%

v. Shareholding of Directors and Key Managerial Personnel:					
Sr. No	Name of Director	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1.	Sanjay Chaturvedi				
	At the beginning of the year	250000	26.49	250000	26.49



	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year	250000	26.49	250000	26.49
2.	Rupa Basu				
	At the beginning of the year	250000	26.49	250000	26.49
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	-	-	-	-
	At the End of the year	250000	26.49	250000	26.49

V. INDEBTEDNESS (Rs. In Lakh)					
		Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
1.	Indebtedness at the beginning of the financial year				
	i) Principal Amount	81321.14	9499.78	-	90820.92
	ii) Interest due but not paid	-	-	-	-
	iii) Interest accrued but not due	582.12	254.88	-	837.00
	Total (i+ii+iii)				
2.	Change in Indebtedness during the financial year				
	• Addition	37410.57	22.03	-	37432.60
	• Reduction			-	
	Net Change			-	
3.	Indebtedness at the end of the financial year				
	i) Principal Amount	118442.10	9521.84	-	127963.94
	ii) Interest due but not paid	-	-	-	-



iii)	Interest accrued but not due	871.73	254.85	-	1126.58
	Total (i+ii+iii)				

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. REMUNERATION TO MANAGING DIRECTOR, WHOLE-TIME DIRECTORS AND/OR MANAGER

Sr. No.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
		Sanjay Chaturvedi	Rupa Basu	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,13,09,103.00	1,16,44,785.00	2,29,53,888.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission			
	- as % of profit	-	-	-
	- others, specify...	-	-	-
5	Others, please specify	-	-	-
6	Total (A)	1,13,09,103.00	1,16,44,785.00	2,29,53,888.00
	Ceiling as per the Act	As per the Shareholders approval obtained		

B. REMUNERATION TO OTHER DIRECTORS:

Sr. No.	Particulars of Remuneration	Name of Directors				Total Amount
		Ranjana Agarwal	Vinod Rai	Rakesh Rewari	Latika Thukral	



Independent Directors					
• Fee for attending board committee meetings	2,55,000	5,40,000	7,80,000.00	1,50,000	17,25,000
• Commission	-	-	-	-	-
• Others, please specify	-	-	-	-	-
Total (1)	2,55,000	5,40,000	7,80,000.00	1,50,000	17,25,000
Other Non-Executive Directors					
• Fee for attending board committee meetings	-	-	-	-	0
• Commission	-	-	-	-	0
• Others, please specify	-	-	-	-	0
Total (2)	-	-	-	-	0
Total (B)=(1+2)	2,55,000	5,40,000	7,80,000.00	1,50,000	17,25,000
Total Managerial Remuneration	2,55,000	5,40,000	7,80,000.00	1,50,000	17,25,000
Overall Ceiling as per the Act	One Lakh per meeting of the Board or committee thereof				

Ranjana Agarwal resigned from the directorship w.e.f 03.12.2019

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD /MANAGER /WTD

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		Total Amount
		Company Secretary (Neeta Kamra)	CFO (Shashank Jain)	
1	Gross salary			-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	23,99,262.00	94,07,292.00	1,18,06,554
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	86,300.00	-	86,300.00
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-



4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify...	-	-	-
5	Others, please specify	-	-	-
6	Total (A)	24,85,562.00	94,07,292.00	1,18,92,854

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority [RD/NCLT/COURT]	Appeal made, if any (give details)
A. COMPANY					
• Penalty	-	-	-	-	-
• Punishment	-	-	-	-	-
• Compounding	-	-	-	-	-
B. DIRECTORS					
• Penalty	-	-	-	-	-
• Punishment	-	-	-	-	-
• Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
• Penalty	-	-	-	-	-
• Punishment	-	-	-	-	-
• Compounding	-	-	-	-	-

